

08-12-2005 4:34AM FROM: Gates &amp; Cooper LLP

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T-396 P.004/005 F-615

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22462 7590 08/24/2005

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|                      |                    |
|----------------------|--------------------|
| Barbara Senty        | (Depositor's name) |
| <i>Barbara Senty</i> | (Signature)        |
| October 12, 2005     | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/830,691      | 04/26/2001  | Eni-Sung Choi        | 118.12-US-WO        | 9629             |

TITLE OF INVENTION: VECTOR FOR THE TRANSFORMATION OF PHAFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1400    | \$0             | \$1400           | 11/25/2005 |

| EXAMINER    | ART UNIT | CLASS-SUBCLASS |
|-------------|----------|----------------|
| GUZO, DAVID | 1636     | 435-483000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Gates &amp; Cooper LLP

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Korea Institute of Science and Technology

Seoul, Republic of Korea

Haitai Confectionery Co., Ltd.

Seoul, Republic of Korea

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

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## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date October 12, 2005Typed or printed name Karen S. CanadyRegistration No. 39,927

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FROM: Gates &amp; Cooper LLP

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T-396 P.001/005 F-615

**Gates & Cooper** LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner David Guzo  
Patent Examining Corps  
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FROM: Karen S. Canady  
OUR REF.: G&C 118.12-US-WO  
TELEPHONE: (310) 642-4148

Total pages, including cover letter: 5

PTO FAX NUMBER: **571-273-2885**

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|                                |  |
|--------------------------------|--|
| Title of Document Transmitted: | TRANSMITTAL SHEETS AND ISSUE FEE TRANSMITTAL FORM PTOL-85 (W/1 COPY).                    |
| Applicant:                     | Eui-Sung Choi et al.   |
| Serial No.:                    | 09/830,691   |
| Filed:                         | April 26, 2001   |
| Group Art Unit:                | 1636   |
| Title:                         | VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY |
| Our Ref. No.:                  | G&C 118.12-US-WO   |

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

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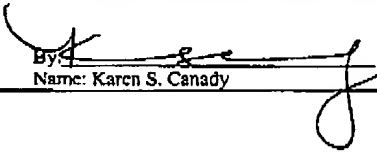
Notice of Allow. Date: August 24, 2005  
Confirmation No.: 9629  
Due Date: November 24, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eui-Sung Choi et al. Examiner: David Guzo  
Serial No.: 09/830,691 Group Art Unit: 1636  
Filed: April 26, 2001 Docket: G&C 118.12-US-WO  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

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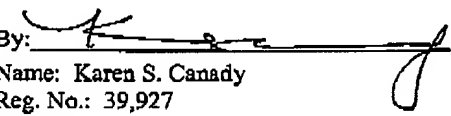
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Customer Number 22462  
**GATES & COOPER LLP**  
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